AMENDED IN ASSEMBLY MARCH 18, 2016

CALIFORNIA LEGISLATURE—2015–16 REGULAR SESSION

ASSEMBLY BILL

No. 1764

Introduced by Assembly Member Waldron

February 3, 2016

An act to amend Section-5346 5348 of the Welfare and Institutions Code, relating to mental health.

LEGISLATIVE COUNSEL'S DIGEST

AB 1764, as amended, Waldron. Mental health services: assisted outpatient treatment.

Existing law, the Assisted Outpatient Treatment Demonstration Project Act of 2002, known as Laura's Law, until January 1, 2017, grants each county the authority to offer certain assisted outpatient treatment services for its residents by adopting a resolution or through the county budget process and by making a finding that no mental health program, as specified, may be reduced as a result of implementation. Under that law, participating counties are required to—provide offer prescribed assisted outpatient treatment services, including including, among other things, a service planning and delivery—process, that are client-directed and employ psychosocial rehabilitation and recovery principles. process and a mental health personal services coordinator, as specified. Existing law authorizes participating counties to pay for the services provided from moneys distributed to the counties from various continuously appropriated funds, including the Mental Health Services Fund when included in a county plan, as specified.

Existing law authorizes designated persons to request the county behavioral health director to file a petition in the superior court for an order for assisted outpatient treatment, for an initial period not to exceed AB 1764 — 2 —

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6 months, for a person who meets specified criteria. Existing law requires the county behavioral health director to investigate the appropriateness of filing the petition. Existing law also provides specified rights to a person who is the subject of the petition. Existing law requires participating counties to also offer the services described above on a voluntary basis.

This bill would make technical, nonsubstantive changes to those provisions.

This bill would authorize participating counties to agree to act jointly to offer, or to contract with each other to offer, assisted outpatient treatment services pursuant to these provisions, subject to the approval of the State Department of Health Care Services. The bill would provide that the agreement may include all or a portion of those services and would require a county that is a party to the agreement to separately offer required services that are not included in the agreement.

Vote: majority. Appropriation: no. Fiscal committee: no-yes. State-mandated local program: no.

The people of the State of California do enact as follows:

1 SECTION 1. Section 5348 of the Welfare and Institutions Code 2 is amended to read:

5348. (a) For purposes of subdivision (e) of Section 5346, a county that chooses to provide assisted outpatient treatment services pursuant to this article shall offer assisted outpatient treatment services including, but not limited to, all of the following:

- (1) Community-based, mobile, multidisciplinary, highly trained mental health teams that use high staff-to-client ratios of no more than 10 clients per team member for those subject to court-ordered services pursuant to Section 5346.
- (2) A service planning and delivery process that includes the following:
- (A) Determination of the numbers of persons to be served and the programs and services that will be provided to meet their needs. The local director of mental health shall consult with the sheriff, the police chief, the probation officer, the mental health board, contract agencies, and family, client, ethnic, and citizen constituency groups as determined by the director.
- (B) Plans for services, including outreach to families whose severely mentally ill adult is living with them, design of mental

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1 health services, coordination and access to medications, psychiatric 2 and psychological services, substance abuse services, supportive 3 housing or other housing assistance, vocational rehabilitation, and 4 veterans' services. Plans shall also contain evaluation strategies, 5 which shall consider cultural, linguistic, gender, age, and special 6 needs of minorities and those based on any characteristic listed or 7 defined in Section 11135 of the Government Code in the target 8 populations. Provision shall be made for staff with the cultural background and linguistic skills necessary to remove barriers to 10 mental health services as a result of having limited-English-speaking ability 11 and cultural differences. Recipients of outreach services may include families, the public, 12 13 primary care physicians, and others who are likely to come into 14 contact with individuals who may be suffering from an untreated 15 severe mental illness who would be likely to become homeless if 16 the illness continued to be untreated for a substantial period of 17 time. Outreach to adults may include adults voluntarily or 18 involuntarily hospitalized as a result of a severe mental illness. 19

(C) Provision for services to meet the needs of persons who are physically disabled.

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- (D) Provision for services to meet the special needs of older adults.
- (E) Provision for family support and consultation services, parenting support and consultation services, and peer support or self-help group support, where appropriate.
- (F) Provision for services to be client-directed and that employ psychosocial rehabilitation and recovery principles.
- (G) Provision for psychiatric and psychological services that are integrated with other services and for psychiatric and psychological collaboration in overall service planning.
- (H) Provision for services specifically directed to seriously mentally ill young adults 25 years of age or younger who are homeless or at significant risk of becoming homeless. These provisions may include continuation of services that still would be received through other funds had eligibility not been terminated as a result of age.
- (I) Services reflecting special needs of women from diverse cultural backgrounds, including supportive housing that accepts children, personal services coordinator therapeutic treatment, and substance treatment programs that address gender-specific trauma

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and abuse in the lives of persons with mental illness, and vocational rehabilitation programs that offer job training programs free of gender bias and sensitive to the needs of women.

- (J) Provision for housing for clients that is immediate, transitional, permanent, or all of these.
- (K) Provision for clients who have been suffering from an untreated severe mental illness for less than one year, and who do not require the full range of services, but are at risk of becoming homeless unless a comprehensive individual and family support services plan is implemented. These clients shall be served in a manner that is designed to meet their needs.
- (3) Each client shall have a clearly designated mental health personal services coordinator who may be part of a multidisciplinary treatment team who is responsible for providing or assuring needed services. Responsibilities include complete assessment of the client's needs, development of the client's personal services plan, linkage with all appropriate community services, monitoring of the quality and followthrough of services, and necessary advocacy to ensure each client receives those services that are agreed to in the personal services plan. Each client shall participate in the development of his or her personal services plan, and responsible staff shall consult with the designated conservator, if one has been appointed, and, with the consent of the client, shall consult with the family and other significant persons as appropriate.
- (4) The individual personal services plan shall ensure that persons subject to assisted outpatient treatment programs receive age-appropriate, gender-appropriate, and culturally appropriate services, to the extent feasible, that are designed to enable recipients to:
- (A) Live in the most independent, least restrictive housing feasible in the local community, and, for clients with children, to live in a supportive housing environment that strives for reunification with their children or assists clients in maintaining custody of their children as is appropriate.
- (B) Engage in the highest level of work or productive activity appropriate to their abilities and experience.
- (C) Create and maintain a support system consisting of friends, family, and participation in community activities.

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(D) Access an appropriate level of academic education or 2 vocational training.

(E) Obtain an adequate income.

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- (F) Self-manage their illnesses and exert as much control as possible over both the day-to-day and long-term decisions that affect their lives.
- (G) Access necessary physical health care and maintain the best possible physical health.
- (H) Reduce or eliminate serious antisocial or criminal behavior, and thereby reduce or eliminate their contact with the criminal justice system.
- (I) Reduce or eliminate the distress caused by the symptoms of mental illness.
 - (J) Have freedom from dangerous addictive substances.
- (5) The individual personal services plan shall describe the service array that meets the requirements of paragraph (4), and to the extent applicable to the individual, the requirements of paragraph (2).
- (b) A county that provides assisted outpatient treatment services pursuant to this article also shall offer the same services on a voluntary basis.
- (c) Counties that authorize the application of this article pursuant to Section 5349 may agree to act jointly to offer, or to contract with each other to offer, assisted outpatient treatment services pursuant to this article, subject to the approval of the State Department of Health Care Services. The agreement may include all or a portion of the assisted outpatient treatment services offered pursuant to this article. A county that is a party to the agreement shall separately offer assisted outpatient treatment services that are not included in the agreement, in accordance with this article.

(c)

(d) Involuntary medication shall not be allowed absent a separate order by the court pursuant to Sections 5332 to 5336, inclusive.

(e) A county that operates an assisted outpatient treatment program pursuant to this article shall provide data to the State Department of Health Care Services and, based on the data, the department shall report to the Legislature on or before May 1 of each year in which the county provides services pursuant to this AB 1764 -6-

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1 article. The report shall include, at a minimum, an evaluation of

- 2 the effectiveness of the strategies employed by each program
- 3 operated pursuant to this article in reducing homelessness and
- 4 hospitalization of persons in the program and in reducing
- 5 involvement with local law enforcement by persons in the program.
- 6 The evaluation and report shall also include any other measures 7 identified by the department regarding persons in the program and 8 all of the following, based on information that is available:
 - (1) The number of persons served by the program and, of those, the number who are able to maintain housing and the number who maintain contact with the treatment system.
 - (2) The number of persons in the program with contacts with local law enforcement, and the extent to which local and state incarceration of persons in the program has been reduced or avoided.
 - (3) The number of persons in the program participating in employment services programs, including competitive employment.
 - (4) The days of hospitalization of persons in the program that have been reduced or avoided.
 - (5) Adherence to prescribed treatment by persons in the program.
 - (6) Other indicators of successful engagement, if any, by persons in the program.
 - (7) Victimization of persons in the program.
 - (8) Violent behavior of persons in the program.
 - (9) Substance abuse by persons in the program.
 - (10) Type, intensity, and frequency of treatment of persons in the program.
 - (11) Extent to which enforcement mechanisms are used by the program, when applicable.
 - (12) Social functioning of persons in the program.
 - (13) Skills in independent living of persons in the program.
 - (14) Satisfaction with program services both by those receiving them and by their families, when relevant.
 - SECTION 1. Section 5346 of the Welfare and Institutions Code is amended to read:
 - 5346. (a) In any county in which services are available as provided in Section 5348, a court may order a person who is the subject of a petition filed pursuant to this section to obtain assisted outpatient treatment if the court finds, by clear and convincing evidence, that the facts stated in the verified petition filed in

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accordance with this section are true and establish that all of the requisite criteria set forth in this section are met, including, but not limited to, each of the following:

(1) The person is 18 years of age or older.

- (2) The person is suffering from a mental illness as defined in paragraphs (2) and (3) of subdivision (b) of Section 5600.3.
- (3) There has been a clinical determination that the person is unlikely to survive safely in the community without supervision.
- (4) The person has a history of lack of compliance with treatment for his or her mental illness, in that at least one of the following is true:
- (A) The person's mental illness has, at least twice within the last 36 months, been a substantial factor in necessitating hospitalization, or receipt of services in a forensic or other mental health unit of a state correctional facility or local correctional facility, not including any period during which the person was hospitalized or incarcerated immediately preceding the filing of the petition.
- (B) The person's mental illness has resulted in one or more acts of serious and violent behavior toward himself or herself or another, or threats, or attempts to cause serious physical harm to himself or herself or another within the last 48 months, not including any period in which the person was hospitalized or incarcerated immediately preceding the filing of the petition.
- (5) The person has been offered an opportunity to participate in a treatment plan by the director of the local mental health department, or his or her designee, provided the treatment plan includes all of the services described in Section 5348, and the person continues to fail to engage in treatment.
 - (6) The person's condition is substantially deteriorating.
- (7) Participation in the assisted outpatient treatment program would be the least restrictive placement necessary to ensure the person's recovery and stability.
- (8) In view of the person's treatment history and current behavior, the person is in need of assisted outpatient treatment in order to prevent a relapse or deterioration that would be likely to result in grave disability or serious harm to himself or herself, or to others, as defined in Section 5150.
- (9) It is likely that the person will benefit from assisted outpatient treatment.

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(b) (1) A petition for an order authorizing assisted outpatient treatment may be filed by the county behavioral health director, or his or her designee, in the superior court in the county in which the person who is the subject of the petition is present or reasonably believed to be present.

- (2) A request may be made only by any of the following persons to the county mental health department for the filing of a petition to obtain an order authorizing assisted outpatient treatment:
- (A) Any person 18 years of age or older with whom the person who is the subject of the petition resides.
- (B) Any person who is the parent, spouse, or sibling or child 18 years of age or older of the person who is the subject of the petition.
- (C) The director of any public or private agency, treatment facility, charitable organization, or licensed residential care facility providing mental health services to the person who is the subject of the petition in whose institution the subject of the petition resides.
- (D) The director of a hospital in which the person who is the subject of the petition is hospitalized.
- (E) A licensed mental health treatment provider who is either supervising the treatment of, or treating for a mental illness, the person who is the subject of the petition.
- (F) A peace officer, parole officer, or probation officer assigned to supervise the person who is the subject of the petition.
- (3) Upon receiving a request pursuant to paragraph (2), the county behavioral health director shall conduct an investigation into the appropriateness of the filing of the petition. The director shall file the petition only if he or she determines that there is a reasonable likelihood that all the necessary elements to sustain the petition can be proven in a court of law by clear and convincing evidence.
 - (4) The petition shall state all of the following:
- (A) Each of the criteria for assisted outpatient treatment as set forth in subdivision (a).
- (B) Facts that support the petitioner's belief that the person who is the subject of the petition meets each criterion, provided that the hearing on the petition shall be limited to the stated facts in the verified petition, and the petition contains all the grounds on

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which the petition is based, in order to ensure adequate notice to the person who is the subject of the petition and his or her counsel.

- (C) That the person who is the subject of the petition is present, or is reasonably believed to be present, within the county where the petition is filed.
- (D) That the person who is the subject of the petition has the right to be represented by counsel in all stages of the proceeding under the petition, in accordance with subdivision (e).
- (5) The petition shall be accompanied by an affidavit of a licensed mental health treatment provider designated by the local mental health director who shall state, if applicable, either of the following:
- (A) That the licensed mental health treatment provider has personally examined the person who is the subject of the petition no more than 10 days prior to the submission of the petition, the facts and reasons why the person who is the subject of the petition meets the criteria in subdivision (a), that the licensed mental health treatment provider recommends assisted outpatient treatment for the person who is the subject of the petition, and that the licensed mental health treatment provider is willing and able to testify at the hearing on the petition.
- (B) That no more than 10 days prior to the filing of the petition, the licensed mental health treatment provider, or his or her designee, has made appropriate attempts to elicit the cooperation of the person who is the subject of the petition, but has not been successful in persuading that person to submit to an examination, that the licensed mental health treatment provider has reason to believe that the person who is the subject of the petition meets the criteria for assisted outpatient treatment, and that the licensed mental health treatment provider is willing and able to examine the person who is the subject of the petition and testify at the hearing on the petition.
- (c) The person who is the subject of the petition shall have the right to be represented by counsel at all stages of a proceeding commenced under this section. If the person so elects, the court shall immediately appoint the public defender or other attorney to assist the person in all stages of the proceedings. The person shall pay the cost of the legal services if he or she is able.
- (d) (1) Upon receipt by the court of a petition submitted pursuant to subdivision (b), the court shall fix the date for a hearing

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at a time not later than five days from the date the petition is 1 2 received by the court, excluding Saturdays, Sundays, and holidays. 3 The petitioner shall promptly cause service of a copy of the 4 petition, together with written notice of the hearing date, to be 5 made personally on the person who is the subject of the petition, 6 and shall send a copy of the petition and notice to the county office 7 of patient rights, and to the current health care provider appointed 8 for the person who is the subject of the petition, if that provider is known to the petitioner. Continuances shall be permitted only for 10 good cause shown. In granting continuances, the court shall consider the need for further examination by a physician or the 11 12 potential need to provide expeditiously assisted outpatient 13 treatment. Upon the hearing date, or upon any other date or dates 14 to which the proceeding may be continued, the court shall hear 15 testimony. If it is deemed advisable by the court, and if the person who is the subject of the petition is available and has received 16 17 notice pursuant to this section, the court may examine in or out of 18 court the person who is the subject of the petition who is alleged 19 to be in need of assisted outpatient treatment. If the person who is the subject of the petition does not appear at the hearing, and 20 21 appropriate attempts to elicit the attendance of the person have 22 failed, the court may conduct the hearing in the person's absence. 23 If the hearing is conducted without the person present, the court 24 shall set forth the factual basis for conducting the hearing without 25 the person's presence. 26

- (2) The court shall not order assisted outpatient treatment unless an examining licensed mental health treatment provider, who has personally examined, and has reviewed the available treatment history of, the person who is the subject of the petition within the time period commencing 10 days before the filing of the petition, testifies in person at the hearing.
- (3) If the person who is the subject of the petition has refused to be examined by a licensed mental health treatment provider, the court may request that the person consent to an examination by a licensed mental health treatment provider appointed by the court. If the person who is the subject of the petition does not consent and the court finds reasonable cause to believe that the allegations in the petition are true, the court may order any person designated under Section 5150 to take into custody the person who is the subject of the petition and transport him or her, or cause him

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or her to be transported, to a hospital for examination by a licensed mental health treatment provider as soon as is practicable. Detention of the person who is the subject of the petition under the order may not exceed 72 hours. If the examination is performed by another licensed mental health treatment provider, the examining licensed mental health treatment provider may consult with the licensed mental health treatment provider whose affirmation or affidavit accompanied the petition regarding the issues of whether the allegations in the petition are true and whether the person meets the criteria for assisted outpatient treatment.

- (4) The person who is the subject of the petition shall have all of the following rights:
- (A) To adequate notice of the hearings to the person who is the subject of the petition, as well as to parties designated by the person who is the subject of the petition.
 - (B) To receive a copy of the court-ordered evaluation.
- (C) To counsel. If the person has not retained counsel, the court shall appoint a public defender.
- (D) To be informed of his or her right to judicial review by habeas corpus.
- (E) To be present at the hearing unless he or she waives the right to be present.
 - (F) To present evidence.

- 24 (G) To call witnesses on his or her behalf.
 - (H) To cross-examine witnesses.
 - (I) To appeal decisions, and to be informed of his or her right to appeal.
 - (5) (A) If after hearing all relevant evidence, the court finds that the person who is the subject of the petition does not meet the eriteria for assisted outpatient treatment, the court shall dismiss the petition.
 - (B) If after hearing all relevant evidence, the court finds that the person who is the subject of the petition meets the criteria for assisted outpatient treatment, and there is no appropriate and feasible less restrictive alternative, the court may order the person who is the subject of the petition to receive assisted outpatient treatment for an initial period not to exceed six months. In fashioning the order, the court shall specify that the proposed treatment is the least restrictive treatment appropriate and feasible for the person who is the subject of the petition. The order shall

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state the categories of assisted outpatient treatment, as set forth in Section 5348, that the person who is the subject of the petition is to receive, and the court may not order treatment that has not been recommended by the examining licensed mental health treatment provider and included in the written treatment plan for assisted outpatient treatment as required by subdivision (e). If the person has executed an advance health care directive pursuant to Chapter 2 (commencing with Section 4650) of Part 1 of Division 4.7 of the Probate Code, any directions included in the advance health care directive shall be considered in formulating the written treatment plan.

- (6) If the person who is the subject of a petition for an order for assisted outpatient treatment pursuant to subparagraph (B) of paragraph (5) refuses to participate in the assisted outpatient treatment program, the court may order the person to meet with the assisted outpatient treatment team designated by the director of the assisted outpatient treatment program. The treatment team shall attempt to gain the person's cooperation with treatment ordered by the court. The person may be subject to a 72-hour hold pursuant to subdivision (f) only after the treatment team has attempted to gain the person's cooperation with treatment ordered by the court, and has been unable to do so.
- (e) Assisted outpatient treatment shall not be ordered unless the licensed mental health treatment provider recommending assisted outpatient treatment to the court has submitted to the court a written treatment plan that includes services as set forth in Section 5348, and the court finds, in consultation with the county behavioral health director, or his or her designee, all of the following:
- (1) That the services are available from the county, or a provider approved by the county, for the duration of the court order.
- (2) That the services have been offered to the person by the local director of mental health, or his or her designee, and the person has been given an opportunity to participate on a voluntary basis, and the person has failed to engage in, or has refused, treatment.
- (3) That all of the elements of the petition required by this article have been met.
- 38 (4) That the treatment plan will be delivered to the county behavioral health director, or to his or her appropriate designee.

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(f) If, in the clinical judgment of a licensed mental health treatment provider, the person who is the subject of the petition has failed or has refused to comply with the treatment ordered by the court, and, in the clinical judgment of the licensed mental health treatment provider, efforts were made to solicit compliance, and, in the clinical judgment of the licensed mental health treatment provider, the person may be in need of involuntary admission to a hospital for evaluation, the provider may request that persons designated under Section 5150 take into custody the person who is the subject of the petition and transport him or her, or cause him or her to be transported, to a hospital, to be held up to 72 hours for examination by a licensed mental health treatment provider to determine if the person is in need of treatment pursuant to Section 5150. Any continued involuntary retention in a hospital beyond the initial 72-hour period shall be pursuant to Section 5150. If at any time during the 72-hour period the person is determined not to meet the criteria of Section 5150, and does not agree to stay in the hospital as a voluntary patient, he or she shall be released and any subsequent involuntary detention in a hospital shall be pursuant to Section 5150. Failure to comply with an order of assisted outpatient treatment alone may not be grounds for involuntary civil commitment or a finding that the person who is the subject of the petition is in contempt of court.

- (g) If the director of the assisted outpatient treatment program determines that the condition of the patient requires further assisted outpatient treatment, the director shall apply to the court, prior to the expiration of the period of the initial assisted outpatient treatment order, for an order authorizing continued assisted outpatient treatment for a period not to exceed 180 days from the date of the order. The procedures for obtaining any order pursuant to this subdivision shall be in accordance with subdivisions (a) to (f), inclusive. The period for further involuntary outpatient treatment authorized by any subsequent order under this subdivision may not exceed 180 days from the date of the order.
- (h) At intervals of not less than 60 days during an assisted outpatient treatment order, the director of the outpatient treatment program shall file an affidavit with the court that ordered the outpatient treatment affirming that the person who is the subject of the order continues to meet the criteria for assisted outpatient treatment. At these times, the person who is the subject of the order

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 shall have the right to a hearing on whether or not he or she still meets the criteria for assisted outpatient treatment if he or she disagrees with the director's affidavit. The burden of proof shall be on the director.

- (i) During each 60-day period specified in subdivision (h), if the person who is the subject of the order believes that he or she is being wrongfully retained in the assisted outpatient treatment program against his or her wishes, he or she may file a petition for a writ of habeas corpus, thus requiring the director of the assisted outpatient treatment program to prove that the person who is the subject of the order continues to meet the criteria for assisted outpatient treatment.
- (j) Any person ordered to undergo assisted outpatient treatment pursuant to this article, who was not present at the hearing at which the order was issued, may immediately petition the court for a writ of habeas corpus. Treatment under the order for assisted outpatient treatment may not commence until the resolution of that petition.