

AMENDED IN ASSEMBLY APRIL 2, 2009

CALIFORNIA LEGISLATURE—2009—10 REGULAR SESSION

ASSEMBLY BILL

No. 1245

Introduced by Assembly Member Monning

February 27, 2009

An act to add Chapter 3.01 (commencing with Section 6204) to Division 7 of Title 1 of the Government Code, relating to public records.

LEGISLATIVE COUNSEL'S DIGEST

AB 1245, as amended, Monning. Recovery of public records.

Existing law provides that every officer having the custody of any public record, as specified, filed or deposited in any public office, or placed in his or her hands for any purpose, is punishable by imprisonment in the state prison for 2, 3, or 4 years if the officer willfully steals, removes, destroys, mutilates, defaces, alters, or falsifies the record, or causes another person to do so. Existing law also provides that every person not an officer who is guilty of any of these acts is punishable by imprisonment in the state prison or in a county jail for a period not exceeding one year, or by a fine not exceeding \$1,000, or by both.

This bill would authorize the Secretary of State, whenever the secretary, in consultation with the State Archivist, has reasonable grounds to believe that a public record belonging to a state or a local agency is in the possession of a person, organization, or institution not authorized by law to possess the record, to issue a written notice demanding that person, organization, or institution within 20 calendar days, either to return the record or respond in writing and declare why the record does not belong to the state or a local agency.

The bill would also authorize the secretary, if the person, organization, or institution does not deliver the described record, does not respond to the notice and demand within the required time, or does not adequately demonstrate that the record does not belong to the state or a local agency, to ask the Attorney General to petition the superior court in the county in which the record is located for an order requiring the return of the record. The court would be required, after a hearing, and upon a finding that the specified record is in the possession of a person, organization, or institution not authorized by law to possess the record, to order the record to be delivered to the State Archivist or other government official designated by the court.

The bill would provide that the prevailing party may be awarded reasonable attorney's fees and costs in an action brought under these provisions. A local agency would be authorized to request the Secretary of State to act on its behalf under these circumstances and the bill would set forth the criteria pursuant to which the local agency could undertake these procedures on its own behalf. The bill would exempt from these provisions an organization or institution that ~~complies with~~ follows professional practices recommended by the Society of American Archivists for the management, care, and preservation of historical records and that makes records in its possession available for inspection in accordance with disclosure requirements applicable to public agencies.

Vote: majority. Appropriation: no. Fiscal committee: yes.
State-mandated local program: no.

The people of the State of California do enact as follows:

- 1 SECTION 1. Chapter 3.01 (commencing with Section 6204)
- 2 is added to Division 7 of Title 1 of the Government Code, to read:
- 3
- 4 CHAPTER 3.01. PUBLIC RECORDS PROTECTION AND RECOVERY
- 5
- 6 6204. (a) For purposes of this chapter, the following definitions
- 7 shall apply:
- 8 (1) "Archivist" means the Keeper of the Archives, as specified
- 9 in Section 12227.
- 10 (2) "Record" has the same meaning as "public records" is
- 11 defined in subdivision (e) of Section 6252, and includes, but is not
- 12 limited to, any writing containing information relating to the

1 conduct of the public's business prepared, owned, used, or retained
2 by a state or local agency regardless of physical form or
3 characteristics.

4 (3) "Secretary" means the Secretary of State.

5 (b) Whenever the secretary, in consultation with the archivist,
6 has reasonable grounds to believe that a record belonging to the
7 state or a local agency is in the possession of a person, organization,
8 or institution not authorized by law to possess those records, the
9 secretary may issue a written notice demanding that person,
10 organization, or institution to do either of the following within 20
11 calendar days of receiving the notice:

12 (1) Return the record to the appropriate state or local agency.

13 (2) Respond in writing and declare why the record does not
14 belong to the state or a local agency.

15 (c) The notice and demand issued pursuant to subdivision (b)
16 shall identify the record claimed to belong to the state or local
17 agency with reasonable specificity, and shall state that the secretary
18 is authorized to take legal action to recover the record if the person,
19 organization, or institution fails to respond in writing within the
20 required time or does not adequately demonstrate that the record
21 does not belong to the state or a local agency.

22 (d) The secretary shall send the notice and demand specified in
23 subdivision (b) by certified or registered mail, return receipt
24 requested.

25 6204.1. (a) If a person, organization, or institution that receives
26 a written notice and demand from the secretary pursuant to Section
27 6204 does not deliver the described record, does not respond to
28 the notice and demand within the required time, or does not
29 adequately demonstrate that the record does not belong to the state
30 or a local agency, the secretary may ask the Attorney General to
31 petition the superior court in the county in which the records are
32 located for an order requiring the return of the record.

33 (b) After a hearing, and upon a finding that the specified record
34 is in the possession of a person, organization, or institution not
35 authorized by law to possess the record, the court shall order the
36 record to be delivered to the archivist or other government official
37 designated by the court.

38 (c) The court may issue any order necessary to protect the record
39 from destruction, alteration, transfer, conveyance, or alienation by
40 the person, organization, or institution in possession of the record,

1 and may order the record to be surrendered into the custody of the
2 archivist pending the court's decision on the petition.

3 (d) If the Attorney General recovers a record under this section,
4 the court may award attorney's fees and court costs.

5 6204.2. (a) If a local agency has reasonable grounds to believe
6 that a record belonging to that local agency is in the possession of
7 a person, organization, or institution not authorized by law to
8 possess the record, it may request the secretary to act on its behalf
9 pursuant to the procedures specified in Sections 6204 and 6204.1,
10 or undertake on its own behalf the same procedure available to the
11 secretary under those sections, subject to subdivisions (b), (c), and
12 (d).

13 (b) If a person, organization, or institution that receives a written
14 notice and demand from a local agency issued pursuant to this
15 section does not deliver the described record, does not respond to
16 the notice and demand within the required time, or does not
17 adequately demonstrate that the record does not belong to the local
18 agency, the local agency may request the county district attorney
19 or, where applicable, the city attorney, to petition the superior court
20 in the county in which the record is located for an order requiring
21 the return of the record.

22 (c) After a hearing, and upon a finding that a specified record
23 is in the possession of a person, organization, or institution not
24 authorized by law to possess the record, the court shall order the
25 record to be delivered to the local agency or a government official
26 designated by the court.

27 (d) The court may issue any order necessary to protect the record
28 from destruction, alteration, transfer, conveyance, or alienation by
29 the person, organization, or institution in possession of the record,
30 and may order the record to be surrendered into the custody of the
31 local agency pending the court's decision on the petition.

32 6204.3. (a) Notwithstanding any other provision of this chapter,
33 an organization or institution having custody of a record shall be
34 exempt from Sections 6204 to 6204.2, inclusive, if the organization
35 or institution meets both of the following requirements:

36 (1) ~~It complies with~~ *follows* professional practices recommended
37 by the Society of American Archivists, as used by the archivist,
38 for the management, care, and preservation of historical records.

39 (2) It requires that all records it receives or maintains are subject
40 to inspection to the same extent that the records would be subject

1 to inspection and not exempt from disclosure pursuant to Chapter
2 3.5 (commencing with Section 6250) if received or maintained by
3 a public agency.

4 (b) If an organization or institution refuses public inspection of
5 a record in its custody in violation of the requirements described
6 in paragraph (2) of subdivision (a), the archivist or local agency,
7 or a designated representative, shall contact the organization or
8 institution to inform it of those requirements and, if appropriate,
9 facilitate inspection of the record. If an organization or institution
10 continues to deny public inspection consistent with paragraph (2)
11 of subdivision (a), the secretary, on behalf of the archivist or the
12 local agency may pursue recovery of the records under this chapter.

13 6204.4. In an action brought pursuant to this chapter, the court
14 may award reasonable attorney's fees and costs to the prevailing
15 party.